

## 1. Introduction

The following survey is an integral part of the risk assessment process.

It is designed to identify potential risks from the perspective of Board members.

Please rate your agreement with the statements below on the '5 point' scale provided.

You are encouraged to make comments in the areas provided after each question and/or at the end of the survey.

All answers are confidential. Information collected from this survey will be consolidated for reporting purposes.

## 2. Board Risk Assessment

**1. The organization has a current strategic plan that is effective in determining its long term priorities.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**2. Board members fully understand the organization's strategic priorities and consider them when reviewing issues and making decisions.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**3. The board has access to the appropriate external and internal information in order to make informed decisions with regard to the strategic direction of the organization.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**4. The board has established processes and metrics to evaluate the performance of the organization in terms of fulfilling its vision and mission, as well as living its core values and guiding principles.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**5. The board has put mechanisms/processes in place to ensure regular and transparent inbound and outbound communication with clients, stakeholders and the public.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

**6. The board monitors operating performance based on a set of key indicators, e.g. revenue, expenses and program outcomes to ensure the organization performs as expected.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**7. The board reviews and adopts an annual operating budget, which is regularly monitored throughout the year.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**8. The board regularly monitors the financial performance of the organization against its annual budget and financial goals.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**9. The board has established processes to ensure adequate financial controls are in place to manage the resources and assets of the organization.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**10. The board has control over the appointment of auditors on an annual basis and ensures an effective external audit process.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

**11. The board has processes are in place to ensure that the organization is in full compliance with laws and regulations.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**12. The board understands the insurance requirements for the organization and ensures that these requirements are met.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**13. A business continuity plan is in place to ensure that the organization's data, resources, staff, and clients are protected in the event of a catastrophic occurrence.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**14. The board does an effective job of performance management (priority setting, evaluation, feedback) of the executive director.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

  

**15. The board is informed of any major organizational structure changes, key staff appointments and performance of the management team.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jñ	jñ	jñ	jñ	jñ

Comment

**16. The board has a Terms of Reference to define its role in the organization.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jā	jā	jā	jā	jā

Comment

  

**17. Board Members understand their legal obligations and ensure they are being met.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jā	jā	jā	jā	jā

Comment

  

**18. The board develops and uses an annual work plan to guide its activities and ensure that priority issues are addressed.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jā	jā	jā	jā	jā

Comment

  

**19. The board has an annual process in place to evaluate its own performance and that of individual board members.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jā	jā	jā	jā	jā

Comment

  

**20. The board has the right number and type of committees to support decision-making and each committee has a clear mandate, Terms of Reference and an annual work plan.**

	Strongly Disagree	Disagree	Somewhat Agree	Agree	Strongly Agree
Rating	jā	jā	jā	jā	jā

Comment

**21. What do you see as the most significant risk(s) facing the organization?**



### 3. The End

Thank you for taking the time to complete this survey.